

PATENT COOPERATION TREATY

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From the INTERNATIONAL BUREAU

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**NOTIFICATION CONCERNING
SUBMISSION OR TRANSMITTAL
OF PRIORITY DOCUMENT**

(PCT Administrative Instructions, Section 411)

Date of mailing (day/month/year) 11 January 2000 (11.01.00)	<i>[Redacted]</i>		
Applicant's or agent's file reference 32282-PCT	IMPORTANT NOTIFICATION		
International application No. PCT/US99/26127	International filing date (day/month/year) 05 November 1999 (05.11.99)		
International publication date (day/month/year) Not yet published	Priority date (day/month/year) 06 November 1998 (06.11.98)		
Applicant THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK et al			
<p>1. The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).</p> <p>2. This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.</p> <p>3. An asterisk(*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.</p> <p>4. The letters "NR" appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau, as provided by Rule 17.1(a) or (b), respectively. In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.</p>			
<u>Priority date</u>	<u>Priority application No.</u>	<u>Country or regional Office or PCT receiving Office</u>	<u>Date of receipt of priority document</u>
06 Nove 1998 (06.11.98)	60/107,463	US	14 Dece 1999 (14.12.99)
01 Febr 1999 (01.02.99)	60/118,020	US	14 Dece 1999 (14.12.99)
01 Febr 1999 (01.02.99)	60/118,027	US	14 Dece 1999 (14.12.99)

**The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland**

Authorized officer

Taïeb Akremi

Facsimile No. (41-22) 740.14.35

Telephone No. (41-22) 338.83.38

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PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

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NOTIFICATION OF RECEIPT OF RECORD COPY

(PCT Rule 24.2(a))

To:	PCT/US99/26127
	99 DEC 23 AM 11:29
TANG, Henry Baker & Botts, LLP 30 Rockefeller Plaza New York, NY 10112-0228 ÉTATS-UNIS D'AMÉRIQUE	<i>Dear HYF PAEK ONW ACV last</i>

Date of mailing (day/month/year) 13 December 1999 (13.12.99)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference 32282-PCT	International application No. PCT/US99/26127

The applicant is hereby notified that the International Bureau has received the record copy of the international application as detailed below.

Name(s) of the applicant(s) and State(s) for which they are applicants:

THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK et al (for all designated States except US)
PAEK, Seugyup et al (for US)

International filing date : 05 November 1999 (05.11.99)
 Priority date(s) claimed : 06 November 1998 (06.11.98)
 01 February 1999 (01.02.99)
 01 February 1999 (01.02.99)

Date of receipt of the record copy by the International Bureau : 03 December 1999 (03.12.99)

List of designated Offices :

AP : GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW
 EA : AM, AZ, BY, KG, KZ, MD, RU, TJ, TM
 EP : AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE
 OA : BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
 National : AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW

COPY TO
10/29

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer: Athina Nickitas-Etienne
Facsimile No. (41-22) 740.14.35	Telephone No. (41-22) 338.83.38

Continuation of Form PCT/IB/301

NOTIFICATION OF RECEIPT OF RECORD COPY

Date of mailing (day/month/year) 13 December 1999 (13.12.99)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference 32282-PCT	International application No. PCT/US99/26127

ATTENTION

The applicant should carefully check the data appearing in this Notification. In case of any discrepancy between these data and the indications in the international application, the applicant should immediately inform the International Bureau.

In addition, the applicant's attention is drawn to the information contained in the Annex, relating to:

- time limits for entry into the national phase
- confirmation of precautionary designations
- requirements regarding priority documents

A copy of this Notification is being sent to the receiving Office and to the International Searching Authority.

INFORMATION ON TIME LIMITS FOR ENTERING THE NATIONAL PHASE

The applicant is reminded that the "national phase" must be entered before each of the designated Offices indicated in the Notification of Receipt of Record Copy (Form PCT/IB/301) by paying national fees and furnishing translations, as prescribed by the applicable national laws.

The time limit for performing these procedural acts is **20 MONTHS** from the priority date or, for those designated States which the applicant elects in a demand for international preliminary examination or in a later election, **30 MONTHS** from the priority date, provided that the election is made before the expiration of 19 months from the priority date. Some designated (or elected) Offices have fixed time limits which expire even later than 20 or 30 months from the priority date. In other Offices an extension of time or grace period, in some cases upon payment of an additional fee, is available.

In addition to these procedural acts, the applicant may also have to comply with other special requirements applicable in certain Offices. It is the applicant's responsibility to ensure that the necessary steps to enter the national phase are taken in a timely fashion. Most designated Offices do not issue reminders to applicants in connection with the entry into the national phase.

For detailed information about the procedural acts to be performed to enter the national phase before each designated Office, the applicable time limits and possible extensions of time or grace periods, and any other requirements, see the relevant Chapters of Volume II of the PCT Applicant's Guide. Information about the requirements for filing a demand for international preliminary examination is set out in Chapter IX of Volume I of the PCT Applicant's Guide.

GR and ES became bound by PCT Chapter II on 7 September 1996 and 6 September 1997, respectively, and may, therefore, be elected in a demand or a later election filed on or after 7 September 1996 and 6 September 1997, respectively, regardless of the filing date of the international application. (See second paragraph above.)

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

CONFIRMATION OF PRECAUTIONARY DESIGNATIONS

This notification lists only specific designations made under Rule 4.9(a) in the request. It is important to check that these designations are correct. Errors in designations can be corrected where precautionary designations have been made under Rule 4.9(b). The applicant is hereby reminded that any precautionary designations may be confirmed according to Rule 4.9(c) before the expiration of 15 months from the priority date. If it is not confirmed, it will automatically be regarded as withdrawn by the applicant. There will be no reminder and no invitation. Confirmation of a designation consists of the filing of a notice specifying the designated State concerned (with an indication of the kind of protection or treatment desired) and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.

REQUIREMENTS REGARDING PRIORITY DOCUMENTS

For applicants who have not yet complied with the requirements regarding priority documents, the following is recalled.

Where the priority of an earlier national, regional or international application is claimed, the applicant must submit a copy of the said earlier application, certified by the authority with which it was filed ("the priority document") to the receiving Office (which will transmit it to the International Bureau) or directly to the International Bureau, before the expiration of 16 months from the priority date, provided that any such priority document may still be submitted to the International Bureau before that date of international publication of the international application, in which case that document will be considered to have been received by the International Bureau on the last day of the 16-month time limit (Rule 17.1(a)).

Where the priority document is issued by the receiving Office, the applicant may, instead of submitting the priority document, request the receiving Office to prepare and transmit the priority document to the International Bureau. Such request must be made before the expiration of the 16-month time limit and may be subjected by the receiving Office to the payment of a fee (Rule 17.1(b)).

If the priority document concerned is not submitted to the International Bureau or if the request to the receiving Office to prepare and transmit the priority document has not been made (and the corresponding fee, if any, paid) within the applicable time limit indicated under the preceding paragraphs, any designated State may disregard the priority claim, provided that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity to furnish the priority document within a time limit which is reasonable under the circumstances.

Where several priorities are claimed, the priority date to be considered for the purposes of computing the 16-month time limit is the filing date of the earliest application whose priority is claimed.

PCT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

To:

HENRY TANG
BAKER & BOTTS, LLP
30 ROCKEFELLER PLAZA
NEW YORK NY 10112-0228

NOTIFICATION OF THE INTERNATIONAL
APPLICATION NUMBER AND OF THE
INTERNATIONAL FILING DATE

(PCT Rule 20.5(c))

		Date of mailing (day/month/year)
Applicant's or agent's file reference 32282-PCT		IMPORTANT NOTIFICATION
International application No. PCT/US99/26127	International filing date (day/month/year) 05 NOV 99	Priority date (day/month/year) 06 NOV 98
Applicant THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK		
Title of the invention IMAGE DESCRIPTION SYSTEM AND METHOD		

<ol style="list-style-type: none"> The applicant is hereby notified that the international application has been accorded the international application number and the international filing date indicated above. The applicant is further notified that the record copy of the international application: <ul style="list-style-type: none"> <input checked="" type="checkbox"/> was transmitted to the International Bureau on <u>11-19-99</u> <input type="checkbox"/> has not yet been transmitted to the International Bureau for the reason indicated below and a copy of this notification has been sent to the International Bureau*: <ul style="list-style-type: none"> <input type="checkbox"/> because the necessary national security clearance has not yet been obtained. <input type="checkbox"/> because (<i>reason to be specified</i>): _____ <p>* The International Bureau monitors the transmittal of the record copy by the receiving Office and will notify the applicant (with Form PCT/IB/301) of its receipt. Should the record copy not have been received by the expiration of 14 months from the priority date, the International Bureau will notify the applicant (Rule 22.1(c)).</p>	
3. FOREIGN TRANSMITTAL LICENSE INFORMATION	
<p>Completed by: <u>DAT</u></p> <ul style="list-style-type: none"> <input type="checkbox"/> Additional license for foreign transmittal not required. This subject matter is covered by a license already granted on the equivalent U.S. national application. Refer to that license for information concerning its scope. <input type="checkbox"/> License for foreign transmittal not required. 37 CFR 5.11(e)(1) or 37 CFR 5.11(e)(2). However, a license may be required for additional subject matter. See 37 CFR 5.15(b). <input checked="" type="checkbox"/> Foreign transmittal license granted. 35 U.S.C. 184; 37 CFR 5.11 on <u>11-19-99</u> (date) <ul style="list-style-type: none"> <input checked="" type="checkbox"/> 37 CFR 5.15(a) <input type="checkbox"/> 37 CFR 5.15(b) 	

Name and mailing address of the receiving Office Assistant Commissioner for Patents Box PCT Washington, D.C. 20231 Facsimile No.	Authorized officer Attn: RO/US Telephone No.
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